

12 December 2022

Ms Zufishan Anjum  
Senior Adviser, Listings (Sydney)  
ASX Compliance Pty Ltd  
20 Bridge Street  
Sydney NSW 2000

Dear Ms Anjum,

### Response to ASX Price Query

We refer to your letter dated 12 December 2022 regarding the above and respond to your questions as follows:

1. Is NAN aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?

**Response:** No. The Company is not aware of any information concerning it that has not been announced which, if known, could be an explanation for recent trading in the securities of the Company.

2. If the answer to question 1 is "yes":

- a) Is NAN relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1?

Please note that the recent trading in NAN's securities would suggest to ASX that such information may have ceased to be confidential and therefore NAN may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.

**Response:** Not applicable.

- b) Can an announcement be made immediately?

Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).

**Response:** Not applicable.

- c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?

**Response:** Not applicable.

3. If the answer to question 1 is "no", is there any other explanation that NAN may have for the recent trading in its securities?

**Response:** The Company is not aware of any other explanation for the price change and increase in volume in the securities in the Company

4. Please confirm that NAN is complying with the Listing Rules and, in particular, Listing Rule 3.1.

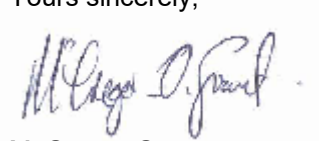
**Response:** Nanosonics Limited confirms that it is in compliance with the Listing Rules and, in particular, Listing Rule 3.1.

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5. Please confirm that NAN's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of NAN with delegated authority from the board to respond to ASX on disclosure matters.

**Response:** The Company Secretary is authorised to respond to ASX on disclosure matters.

Yours sincerely,



McGregor Grant  
Chief Financial Officer & Company Secretary  
Nanosonics Limited

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12 December 2022

Reference: 65499

Mr McGregor Grant  
Company Secretary  
Nanosonics Limited  
Level 1, 7-11 Talavera Rd  
Macquarie Park, NSW 2113

By email: m.grant@nanosonics.com

Dear Mr Grant

**Nanosonics Limited ('NAN'): Price - Query**

ASX refers to the following:

- A. The change in the price of NAN's securities from a high of \$4.84 at the close of trading on Friday, 9 December 2022 to an intraday low of \$4.18 today.

**Request for information**

In light of this, ASX asks NAN to respond separately to each of the following questions and requests for information:

1. Is NAN aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes".
  - (a) Is NAN relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in NAN's securities would suggest to ASX that such information may have ceased to be confidential and therefore NAN may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that NAN may have for the recent trading in its securities?
4. Please confirm that NAN is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that NAN's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of NAN with delegated authority from the board to respond to ASX on disclosure matters.

**When and where to send your response**

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **9:00 AM AEDT Tuesday, 13 December 2022**. You should note that if the information

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requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, NAN's obligation is to disclose the information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require NAN to request a trading halt immediately.

Your response should be sent to me by e-mail at **ListingsComplianceSydney@asx.com.au**. It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### **Trading halt**

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in NAN's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

### **Suspension**

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in NAN's securities under Listing Rule 17.3.

### **Listing Rules 3.1 and 3.1A**

In responding to this letter, you should have regard to NAN's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that NAN's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### **Release of correspondence between ASX and entity**

We reserve the right to release a copy of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

### **Questions**

If you have any questions in relation to the above, please do not hesitate to contact me.

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Yours faithfully

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**Zufishan Anjum**  
Senior Compliance Advisor, Accounting, Listings Compliance (Sydney)