



## Announcement Summary

**Entity name**

ROOTS SUSTAINABLE AGRICULTURAL TECHNOLOGIES LTD

**Date of this announcement**

Friday October 07, 2022

**The +securities the subject of this notification are:**

+Securities issued as part of a transaction or transactions previously announced to the market in an Appendix 3B that are not quoted, and are not intended to be quoted, on ASX

**Total number of +securities to be issued/transferred**

ASX +security code	Security description	Total number of +securities to be issued/transferred	Issue date
ROOAE	OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	120,192,308	06/10/2022

Refer to next page for full details of the announcement



Part 1 - Entity and announcement details

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**1.1 Name of entity**

ROOTS SUSTAINABLE AGRICULTURAL TECHNOLOGIES LTD

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

**1.2 Registered number type**

ARBN

**Registration number**

619754540

**1.3 ASX issuer code**

ROO

**1.4 The announcement is**

New announcement

**1.5 Date of this announcement**

7/10/2022

For personal use only



Part 2 - Issue details

**2.1 The +securities the subject of this notification are:**

+Securities issued as part of a transaction or transactions previously announced to the market in an Appendix 3B that are not quoted, and are not intended to be quoted, on ASX

**Previous Appendix 3B details:**

**Announcement Date and Time**

13-Dec-2021 09:14

**Announcement Title**

New - Proposed issue of securities - ROO

**Selected Appendix 3B to submit quotation request**

A placement or other type of issue

**2.3a.2 Are there any further issues of +securities yet to take place to complete the transaction(s) referred to in the Appendix 3B?**

No

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Part 3A - number and type of +securities the subject of this notification (existing class or new class) where issue has previously been notified to ASX in an Appendix 3B

Placement Details

**ASX +security code and description**

ROOAE : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES

**Date the +securities the subject of this notification were issued**

6/10/2022

**Any other information the entity wishes to provide about the +securities the subject of this notification**

Issue of 120,192,308 free attaching placement options to participants of the December 2021 Placement (all unrelated parties) as approved at the AGM held 04 October 2022

Issue details

**Number of +securities**

120,192,308

**Were the +securities issued for a cash consideration?**

No

**Please describe the consideration being provided for the +securities**

The options were free attaching placement options issued to participants of the December 2021 Placement (all unrelated parties) as approved at the AGM held 04 October 2022



## Part 4 - +Securities on issue

Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:  
(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

## 4.1 Quoted +Securities (Total number of each +class of +securities quoted)

ASX +security code and description	Total number of +securities on issue
ROO : CHESS DEPOSITARY INTERESTS 1:1	749,632,688

## 4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)

ASX +security code and description	Total number of +securities on issue
ROOAG : OPTION EXPIRING 15-OCT-2026 EX ILS 0.01	4,833,333
ROOAH : PERFORMANCE RIGHTS	18,000,000
ROOAD : PERFORMANCE RIGHTS RESTRICTED	13,200,000
ROOAE : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	162,483,911