Form 603

Corporations Law Section 671B

Notice of initial substantial holder

To: Company Name/Scheme	NOVA MINERALS LIMITED
ACN/ARSN	006 690 348
1. Details of substantial holder	(1)
Name:	UBS Group AG and its related bodies corporate
ACN/ARSN (if applicable)	
The holder became a substantial ho	older on: 05 October 2021
2. Details of voting power	

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

0	Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
	Ordinary	84,966,113	84,966,113	5.05%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securition	
UBS Securities Australia Ltd	Beneficial Owner	302,062 Or	dinary
UBS Switzerland AG	Broker has the right to use over this position under a Securities Lending agreement	66,764,709 O	rdinary
	Power to control disposal over shares pursuant to stock borrowing and lending activities	17,899,342 O	rdinary

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of Securities	Person entitled to be registered as holder (8)	Class and number of securities
UBS Securities Australia Ltd	Brispot Nominees Pty Ltd	UBS Securities Australia Ltd	302,062 Ordinary
UBS Switzerland AG	Various Custodians	UBS Switzerland AG	84,664,051 Ordinary

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Please see Appendix B.				

6.	Associates ne reasons the persons named in paragraph 3 above are ass	sociates of the substantial holder are as follows:
	Name and ACN/ ARSN (if applicable)	Nature of association
	UBS Securities Australia Ltd	Related body corporate
	Brispot Nominees Pty Ltd	Related body corporate
	UBS Switzerland AG	Related body corporate

Addresses

The addresses of persons named in this form are as follows:

	Name and ACN/ ARSN (if a	oplicable)	Nature of association
	UBS Securities Australia Ltd		Level 16, Chifley Tower, 2 Chifley Square, Sydney, Australia
	Brispot Nominees Pty Ltd		Level 16, Chifley Tower, 2 Chifley Square, Sydney, Australia
	UBS Switzerland AG		Bahnhofstrasse 45, Zürich, Switzerland
SI	GNATURE		
	Print Name:	Andrew Costley	Capacity: Authorised signatory
		λ	

Sign Here: Date: 07 October 2021

Print Name: Joanne Chan Capacity: Authorised signatory

Sign Here: Date: 07 October 2021

Contact details for this notice:

Andrew Costley Group Compliance, Regulatory & Governance (T) +852 3712 3707

UBS Switzerland AG #
Securities Lending Agreement
(i) UBS Switzerland AG
(ii) Information not available
Information not available
UBS Switzerland AG
Please refer to the details below.
None, subject to deviating agreements between the parties.
None (subject to the terms of the relevant loan)
Yes.
The borrower has the right to retu at any time (subject to the terms of the relevant loan).
Yes.
The lender can recall at any time (subject to the terms of the relevation).
Yes.
Restitution will take place within a period which is customary at the primary exchange.

[#] The information in this appendix is based on a standard UBS securities lending agreement

Date of change	Person whose relevant interest changed	Nature of Change	Consideration given in relation to change	Number of securities	IVA - Appendix B Class
07-Jun-21	UBS Securities Australia Ltd	Buy	2,831	18,870	Ordinary
07-Jun-21	UBS Securities Australia Ltd	Sell	2,831	(18,870)	Ordinary
07-Jun-21	UBS Securities Australia Ltd	Sell	2,156	(14,375)	Ordinary
07-Jun-21	UBS Securities Australia Ltd	Buy	4,557	30,381	Ordinary
07-Jun-21	UBS Securities Australia Ltd	Sell	2,156	(14,375)	Ordinary
07-Jun-21	UBS Switzerland AG	Right of use	N/A	17,776,812	Ordinary
07-Jun-21	UBS Switzerland AG	Stock returned	N/A	(1,130,274)	
08-Jun-21	UBS Securities Australia Ltd	Buy	2,156	14,375	Ordinary
08-Jun-21	UBS Securities Australia Ltd	Buy	7,100	47,336	Ordinary
08-Jun-21	UBS Securities Australia Ltd	Sell	7,337	(47,336)	Ordinary
09-Jun-21	UBS Securities Australia Ltd	Buy	19,914	127,083	
09-Jun-21	UBS Securities Australia Ltd	Sell	20,105	(127,083)	
09-Jun-21	UBS Securities Australia Ltd	Sell	4,861		Ordinary
09-Jun-21	UBS Securities Australia Ltd	Buy	2,200	14,665	Ordinary
09-Jun-21	UBS Securities Australia Ltd	Sell	4,861	. , ,	Ordinary
09-Jun-21	UBS Securities Australia Ltd	Buy	4,861		Ordinary
10-Jun-21	UBS Securities Australia Ltd	Buy	2,543		Ordinary
10-Jun-21	UBS Securities Australia Ltd	Sell	2,585		Ordinary
10-Jun-21	UBS Securities Australia Ltd	Sell	2,200		Ordinary
10-Jun-21	UBS Securities Australia Ltd	Sell	2,200	(14,665)	Ordinary
10-Jun-21	UBS Securities Australia Ltd	Buy	2,200	14,665	Ordinary
11-Jun-21	UBS Securities Australia Ltd	Buy	16,447	112,110	Ordinary
11-Jun-21	UBS Securities Australia Ltd	Sell	16,447	(112,110)	
15-Jun-21	UBS Securities Australia Ltd	Buy	2,250		Ordinary
15-Jun-21	UBS Securities Australia Ltd	Sell	2,250	(15,000)	Ordinary
15-Jun-21	UBS Securities Australia Ltd	Buy	3,447		Ordinary
17-Jun-21	UBS Securities Australia Ltd	Buy	2,335		Ordinary
17-Jun-21	UBS Securities Australia Ltd	Buy	5,134		Ordinary
17-Jun-21	UBS Securities Australia Ltd	Sell	4,331		Ordinary
18-Jun-21	UBS Securities Australia Ltd	Buy	6,846	48,900	Ordinary
18-Jun-21	UBS Securities Australia Ltd	Sell	2,242		Ordinary
18-Jun-21	UBS Securities Australia Ltd	Buy	18,516	132,260	
18-Jun-21	UBS Securities Australia Ltd	Sell	19,292	(137,803)	
18-Jun-21	UBS Securities Australia Ltd	Sell	3,217		Ordinary
21-Jun-21	UBS Securities Australia Ltd	Buy	142		Ordinary
21-Jun-21	UBS Securities Australia Ltd	Buy	27,095	195,493	
21-Jun-21	UBS Securities Australia Ltd	Sell	27,134	(195,493)	
22-Jun-21	UBS Securities Australia Ltd	Buy	23,298	166,416	
22-Jun-21	UBS Securities Australia Ltd	Buy	2,112		Ordinary
23-Jun-21	UBS Securities Australia Ltd	Buy	31,924	234,217	
23-Jun-21	UBS Securities Australia Ltd	Sell	54,927	(400,633)	
23-Jun-21	UBS Securities Australia Ltd	Sell	2,037		Ordinary
23-Jun-21	UBS Switzerland AG	Stock returned	N/A	(400,000)	
24-Jun-21	UBS Securities Australia Ltd	Sell	62,788	(496,349)	
24-Jun-21	UBS Securities Australia Ltd	Buy	62,391	496,349	
24-Jun-21	UBS Securities Australia Ltd	Buy	2,135		Ordinary
25-Jun-21	UBS Securities Australia Ltd	Buy	18,027	144,217	
25-Jun-21	UBS Securities Australia Ltd	Sell	18,027	(144,217)	
25-Jun-21	UBS Securities Australia Ltd	Buy	6,774		Ordinary
29-Jun-21	UBS Securities Australia Ltd	Sell	15,023	(120,181)	
29-Jun-21	UBS Securities Australia Ltd	Buy	15,023	120,181	
30-Jun-21	UBS Securities Australia Ltd	Buy	20,888	170,934	,
30-Jun-21	UBS Securities Australia Ltd	Sell	21,093	(170,934)	
30-Jun-21	UBS Securities Australia Ltd	Sell	8,648		Ordinary
01-Jul-21	UBS Securities Australia Ltd	Buy	5,901		Ordinary
01-Jul-21	UBS Securities Australia Ltd	Sell	5,901		Ordinary
01-Jul-21	UBS Switzerland AG	Stock borrowed	N/A	102,000	
05-Jul-21	UBS Securities Australia Ltd	Buy	22,306	178,444	
05-Jul-21	UBS Securities Australia Ltd	Sell	22,306	(178,444)	
06-Jul-21	UBS Securities Australia Ltd	Buy	2,788	22,300	Ordinary

06-Jul-21	UBS Securities Australia Ltd	Sell	2,732	(22 300)	Ordinary
07-Jul-21	UBS Securities Australia Ltd	Buy	10,880		Ordinary
	UBS Securities Australia Ltd	Sell	10,445		Ordinary
	UBS Securities Australia Ltd	Buy	23,697		Ordinary
	UBS Securities Australia Ltd	Sell	23,223	(189,576)	
13-Jul-21	UBS Securities Australia Ltd	Buy	3,043		Ordinary
13-Jul-21	UBS Switzerland AG	Stock returned	N/A	(102,000)	,
	UBS Securities Australia Ltd	Buy	3,201		Ordinary
	UBS Securities Australia Ltd	Sell	3,201		Ordinary
	UBS Securities Australia Ltd	Sell	3.043		Ordinary
19-Jul-21	UBS Securities Australia Ltd	Buy	25,031	. , ,	Ordinary
	UBS Securities Australia Ltd	Sell	23,838		Ordinary
_	UBS Securities Australia Ltd	Buy	13,098		Ordinary
	UBS Securities Australia Ltd	Sell	4,163		Ordinary
	UBS Securities Australia Ltd	Buy	5,332		Ordinary
20-Jul-21	UBS Securities Australia Ltd	Buy	38,911		Ordinary
	UBS Securities Australia Ltd	Sell	38,875	(362,980)	•
20-Jul-21	UBS Securities Australia Ltd	Buy	3,903		Ordinary
21-Jul-21	UBS Securities Australia Ltd	Sell	1,706		Ordinary
	UBS Securities Australia Ltd	Buy	704		Ordinary
	UBS Securities Australia Ltd UBS Securities Australia Ltd	Sell	8,250		Ordinary
21-Jul-21	UBS Securities Australia Ltd	Buy	1,297		Ordinary
22-Jul-21	UBS Securities Australia Ltd UBS Securities Australia Ltd	Sell	4,120		Ordinary
	UBS Securities Australia Ltd	Buy	4,848		Ordinary
	UBS Securities Australia Ltd	Sell	6,081		Ordinary
23-Jul-21	UBS Securities Australia Ltd	Sell	183		Ordinary
	UBS Securities Australia Ltd		920		
23-Jul-21		Buy	9,252		Ordinary
	UBS Securities Australia Ltd UBS Securities Australia Ltd	Buy Sell	6,762		Ordinary Ordinary
		Sell	4,572		
	UBS Securities Australia Ltd UBS Securities Australia Ltd	Sell	2,388		Ordinary Ordinary
			'		
	UBS Securities Australia Ltd	Sell Sell	1,097 1,571		Ordinary
29-Jul-21 29-Jul-21	UBS Securities Australia Ltd				Ordinary
	UBS Securities Australia Ltd	Buy	3,930 11		Ordinary
	UBS Securities Australia Ltd	Sell			Ordinary
	UBS Securities Australia Ltd UBS Securities Australia Ltd	Buy Sell	8,538 0		Ordinary Ordinary
	UBS Securities Australia Ltd	Sell	<u>-</u>		
	UBS Securities Australia Ltd	Sell	1,977 411		Ordinary Ordinary
	UBS Securities Australia Ltd	Sell			•
		Sell	5,172 14		Ordinary
	UBS Securities Australia Ltd	Sell			Ordinary
	UBS Securities Australia Ltd UBS Switzerland AG		8,538 N/A		Ordinary
		Stock returned			Ordinary
	UBS Securities Australia Ltd UBS Securities Australia Ltd	Buy Sell	3,108		Ordinary
			3		Ordinary
	UBS Securities Australia Ltd UBS Securities Australia Ltd	Sell Buy	7		Ordinary Ordinary
	UBS Securities Australia Ltd		2,482		Ordinary
		Buy Sell	3,529		Ordinary
	UBS Securities Australia Ltd	Sell	3,529		Ordinary
	UBS Securities Australia Ltd UBS Securities Australia Ltd		4,330		
		Buy Sell	12		Ordinary
	UBS Securities Australia Ltd		1		Ordinary
	UBS Securities Australia Ltd	Sell	· ·		Ordinary
	UBS Securities Australia Ltd	Buy	2,607		Ordinary
	UBS Securities Australia Ltd	Sell	789		Ordinary
	UBS Securities Australia Ltd	Sell	1,856		Ordinary
	UBS Securities Australia Ltd	Sell	654		Ordinary
	UBS Securities Australia Ltd	Sell	3		Ordinary
	UBS Securities Australia Ltd	Sell	6,297		Ordinary
	UBS Securities Australia Ltd	Sell	2		Ordinary
	UBS Securities Australia Ltd	Buy	3,189		Ordinary
16-Aug-21	UBS Securities Australia Ltd	Sell	84	(/65)	Ordinary

17-Aug-21	UBS Securities Australia Ltd	Sell	81	(701)	Ordinary
19-Aug-21	UBS Securities Australia Ltd	Sell	80		Ordinary
19-Aug-21	UBS Securities Australia Ltd	Buy	4,199	38,172	
19-Aug-21	UBS Securities Australia Ltd	Sell	3,263	(29,666)	
19-Aug-21	UBS Securities Australia Ltd	Sell	4,103	(38,172)	•
20-Aug-21	UBS Securities Australia Ltd	Sell	1		Ordinary
20-Aug-21 20-Aug-21	UBS Securities Australia Ltd	Buy	16,029	145,714	
20-Aug-21 20-Aug-21	UBS Securities Australia Ltd	Sell	16,029	(145,714)	
23-Aug-21	UBS Securities Australia Ltd	Sell	10		Ordinary
24-Aug-21	UBS Securities Australia Ltd	Sell	10		Ordinary
25-Aug-21	UBS Securities Australia Ltd	Buy	105,011	1,000,109	
25-Aug-21	UBS Securities Australia Ltd	Sell	10		Ordinary Ordinary
25-Aug-21	UBS Securities Australia Ltd	Sell	1,046	(9,510)	
	UBS Securities Australia Ltd	Buy	4,813	43,757	
25-Aug-21 25-Aug-21		Sell			
	UBS Securities Australia Ltd UBS Securities Australia Ltd		4,813	(43,757) (•
26-Aug-21		Buy	16,894	153,578 (
26-Aug-21	UBS Securities Australia Ltd	Sell	16,479	(153,578) (
27-Aug-21	UBS Securities Australia Ltd	Buy	8,397	76,332 (
27-Aug-21	UBS Securities Australia Ltd	Sell	16,566	(150,600) (
27-Aug-21	UBS Securities Australia Ltd	Buy	5,712	51,931 (
27-Aug-21	UBS Securities Australia Ltd	Sell	5,712	(51,931)	•
30-Aug-21	UBS Securities Australia Ltd	Buy	979	8,900 (•
30-Aug-21	UBS Securities Australia Ltd	Buy	6,303	57,301 (
31-Aug-21	UBS Securities Australia Ltd	Sell	6,303	(57,301)	
01-Sep-21	UBS Securities Australia Ltd	Buy	8,948	74,691 (
01-Sep-21	UBS Securities Australia Ltd	Sell	14,389	(121,021)	
01-Sep-21	UBS Securities Australia Ltd	Buy	13,147	109,562	
01-Sep-21	UBS Securities Australia Ltd	Sell	13,147	(109,562)	
02-Sep-21	UBS Securities Australia Ltd	Buy	14,289	117,801	
02-Sep-21	UBS Securities Australia Ltd	Sell	22,007	(179,941)	
02-Sep-21	UBS Securities Australia Ltd	Buy	12,099	100,826	
02-Sep-21	UBS Securities Australia Ltd	Sell	6,050	(50,413)	
03-Sep-21	UBS Securities Australia Ltd	Buy	48,568	365,724	
03-Sep-21	UBS Securities Australia Ltd	Sell	46,872	(349,010)	•
03-Sep-21	UBS Securities Australia Ltd	Sell	60,571	(457,139)	
03-Sep-21	UBS Securities Australia Ltd	Buy	54,135	406,726	
06-Sep-21	UBS Securities Australia Ltd	Sell	9,279	(67,285)	
06-Sep-21	UBS Securities Australia Ltd	Buy	8,974	64,100	
06-Sep-21	UBS Securities Australia Ltd	Sell	48,783	(348,448)	
06-Sep-21	UBS Securities Australia Ltd	Buy	48,783	348,448	
07-Sep-21	UBS Securities Australia Ltd	Sell	14,140	(101,000)	
08-Sep-21	UBS Securities Australia Ltd	Buy	14,640	108,443	,
08-Sep-21	UBS Securities Australia Ltd	Sell	4,455	(33,000)	
08-Sep-21	UBS Securities Australia Ltd	Buy	16,149	121,328	
08-Sep-21	UBS Securities Australia Ltd	Sell	16,379	(121,328)	•
09-Sep-21	UBS Securities Australia Ltd	Sell	26,537	(188,878)	•
09-Sep-21	UBS Securities Australia Ltd	Buy	15,730	112,843	Ordinary
09-Sep-21	UBS Securities Australia Ltd	Buy	19,135	139,160	,
09-Sep-21	UBS Securities Australia Ltd	Sell	19,135	(139,160)	
10-Sep-21	UBS Securities Australia Ltd	Sell	12,320	(88,000)	
10-Sep-21	UBS Securities Australia Ltd	Buy	2,442	18,087	Ordinary
10-Sep-21	UBS Securities Australia Ltd	Sell	8,770	(64,964)	,
10-Sep-21	UBS Securities Australia Ltd	Buy	8,770	64,964	Ordinary
13-Sep-21	UBS Securities Australia Ltd	Sell	7,617	(56,421)	Ordinary
13-Sep-21	UBS Securities Australia Ltd	Buy	3,783	28,022	Ordinary
13-Sep-21	UBS Securities Australia Ltd	Buy	11,697	86,643	Ordinary Ordinary
13-Sep-21	UBS Securities Australia Ltd	Sell	11,697	(86,643)	Ordinary Ordinary
14-Sep-21	UBS Securities Australia Ltd	Sell	12,808	(91,483)	Ordinary
14-Sep-21	UBS Securities Australia Ltd	Buy	7,439	53,133	
	UBS Securities Australia Ltd	Buy	6,631	47,367	
14-Sep-21					
14-Sep-21	UBS Securities Australia Ltd	Sell	6,631	(47,367)	Ordinary

18-58-p-21	15-Sep-21	UBS Securities Australia Ltd	Buy	7,650	51 238	Ordinary
19-58-p2 USS Securities Australia LtU				•		
18-5ep-21						
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21-Sep-21 U85 Securities Australia Ltd Sell 17,062 (125,268) Critinary 17,062 (125,268) Critinary 17,062 (125,268) Critinary 18,065 (125,268) Critinary 19,065 (125,273) Critinary 19,0			•			
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05-Oct-21 UBS Switzerland AG Right of use N/A 700,000 Ordinary