

Form 604

Corporations Act 2001

Section 671B

Notice of change of interests of substantial holder

To Company Name/Scheme Atrum Coal Ltd (ATU)

ACN/ARSN 153 876 861

1. Details of substantial holder (1)

Name Regal Funds Management Pty Ltd (RFM)

ACN/ARSN (if applicable) 107 576 821

There was a change in the interests of the substantial holder on

8/09/2021

The previous notice was given to the company on

30/03/2021

The previous notice was dated

26/03/2021

2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Current notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
ORDINARY	30,982,261	5.33%	45,518,199	6.76%

3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)	Class and number of securities
	See Annexure 1		

4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
UBS AG, AUSTRALIA BRANCH	UBS NOMINEES PTY LTD	UBS AG, AUSTRALIA BRANCH	HOLDER OF SHARES	19,079,733 ORDINARY	19,079,733
MERRILL LYNCH INTERNATIONAL LIMITED	MERRILL LYNCH (AUS) NOMINEES PTY LTD	MERRILL LYNCH INTERNATIONAL LIMITED	HOLDER OF SHARES	19,175,254 ORDINARY	19,175,254
CREDIT SUISSE AG	CS THIRD NOMINEES PTY LIMITED	CREDIT SUISSE AG	HOLDER OF SHARES	7,263,212 ORDINARY	7,263,212

5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
NOT APPLICABLE	

6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Kenny Ho	LEVEL 47 GATEWAY 1 MACQUARIE PLACE SYDNEY NSW 2000

Signature

print name Kenny Ho capacity Company Secretary

sign here  date 10/09/2021

Annexure 1

Changes in relevant interests

Date	Person whose relevant interest changed	Nature of Change	Consideration given in relation to change	Number of securities affected	Class of securities	Persons votes affected
29/03/2021	Regal Funds Management	Buy	137494.70	1964210	Ordinary	1964210
1/04/2021	Regal Funds Management	Buy	39650.59	710584	Ordinary	710584
7/04/2021	Regal Funds Management	Buy	10579.56	178107	Ordinary	178107
8/04/2021	Regal Funds Management	Buy	22467.97	345661	Ordinary	345661
12/04/2021	Regal Funds Management	Buy	12988.13	188781	Ordinary	188781
10/06/2021	Regal Funds Management	Buy	88000.00	2000000	Ordinary	2000000
22/06/2021	Regal Funds Management	Sell	107600.00	4000000	Ordinary	4000000
23/06/2021	Regal Funds Management	Sell	839508.25	32288779	Ordinary	32288779
23/06/2021	Regal Funds Management	Buy	839508.25	32288779	Ordinary	32288779
12/08/2021	Regal Funds Management	Buy	176561.49	5885383	Ordinary	5885383
8/09/2021	Regal Funds Management	Buy	217896.36	7263212	Ordinary	7263212