

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	CAPRICORN METALS LTD
<b>ABN</b>	84 121 700 105

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Mark Clark
<b>Date of last notice</b>	19 November 2020

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect
<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	Samoz Pty Ltd <Clark Family Trust> ("Samoz") <i>Director &amp; Beneficiary of Trust</i> Mark Clark Superannuation Pty Ltd ("MCS") <Mark Clark Super Fund A/C> <i>Director &amp; Beneficiary</i> Sarah Anne Clark ("SAC") <i>Spouse</i>
<b>Date of change</b>	28 July 2021
<b>No. of securities held prior to change</b>	Samoz: 14,000,000 ordinary shares, 8,000,000 unquoted options exercise price \$0.60 per share expiry date 30 August 2022 MCS: 12,000 ordinary shares SAC: 40,000 ordinary shares
<b>Class</b>	Ordinary shares
<b>Number acquired</b>	8,000,000 fully paid ordinary shares
<b>Number disposed</b>	8,000,000 unquoted options over shares - exercised
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and estimated valuation</small>	\$4,800,000
<b>No. of securities held after change</b>	Samoz: 22,000,000 ordinary shares MCS: 12,000 ordinary shares SAC: 40,000 ordinary shares
<b>Nature of change</b> <small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small>	Exercise of options

+ See chapter 19 for defined terms.

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### Change of Director's Interest Notice

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#### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	
<b>Name of registered holder (if issued securities)</b>	
<b>Date of change</b>	
<b>No. and class of securities to which interest related prior to change</b> <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	
<b>Interest acquired</b>	
<b>Interest disposed</b>	
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	
<b>Interest after change</b>	

#### Part 3 – +Closed period

<b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	CAPRICORN METALS LTD
<b>ABN</b>	84 121 700 105

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Donald Mark Okeby
<b>Date of last notice</b>	2 September 2019

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect
<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	a) Liberty Management Pty Ltd <Liberty Superfund A/C> <i>Director and Member</i>  b) Liberty Management Pty Ltd <Liberty A/C> <i>Director &amp; Beneficiary</i>
<b>Date of change</b>	28 July 2021
<b>No. of securities held prior to change</b>	a) 4,615,385 ordinary shares  b) 2,000,000 unquoted options, exercise price \$0.60 per share, expiry date 30 August 2022
<b>Class</b>	Ordinary shares
<b>Number acquired</b>	2,000,000 fully paid ordinary shares
<b>Number disposed</b>	2,000,000 unquoted options over shares - exercised
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and estimated valuation</small>	\$1,200,000
<b>No. of securities held after change</b>	a) 4,615,385 ordinary shares - Liberty Management Pty Ltd <Liberty Superfund A/C>  b) 2,000,000 ordinary shares – Liberty Management Pty Ltd <Liberty A/C>

+ See chapter 19 for defined terms.

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<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Exercise of options
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## Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	
<b>Name of registered holder (if issued securities)</b>	
<b>Date of change</b>	
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	
<b>Interest acquired</b>	
<b>Interest disposed</b>	
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	
<b>Interest after change</b>	

## Part 3 – +Closed period

<b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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