

**Form 603**Corporations Act 2001  
Section 671B**Notice of initial substantial holder**To Company Name/Scheme IMMUTEP LTDACN/ARSN 009 237 889**1. Details of substantial holder (1)**Name BlackRock Group (BlackRock Inc. and subsidiaries named in AnnexuresACN/ARSN (if applicable) to this form)The holder became a substantial holder on 22 / 12 / 2020**2. Details of voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
ADR 100 : 1	345,868	34,586,800	5.34

**3. Details of relevant interests**

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Annexure A & D		

**4. Details of present registered holders**

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
see Annexure A			

**5. Consideration**

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
see Annexure B				

**6. Associates**

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
see Annexure A	

**7. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
see Annexure C	

**Signature**

print name Haley Harris

capacity Authorised Signatory\*

**sign here**

date 24 / 12 / 2020

\* At a meeting of the Board on 22 November 2013, the Directors resolved to approve the delegation of the preparation and lodgement of substantial shareholder notices on behalf of BlackRock Investment Management (Australia) Limited.

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
  - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person ( eg. if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

24-Dec-20  
Date

Haley Harris,  
Authorised Signatory

- 3. Details of Relevant Interests
- 4. Details of present registered holders
- 6. Associates

Holder of Relevant Interests Name and ACN / ARSN (if applicable)	Nature of Relevant Interest	Registered Holder of Securities	Person entitled to be registered as holder	Class and number of Securities	Person's votes	Nature of Association
BlackRock Investment Management (UK) Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee.	Northern Trust Luxembourg		ADR 100:1	345,868	34,586,800 subsidiary of BlackRock Inc.

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## Annexure B

This is Annexure B of 2 pages referred to in form 603 Notice of initial substantial shareholder

24-Dec-20

Haley Harris,  
Authorised Signatory

Date

## 5. Consideration

IMMUTEP LTD (IMM)								
Holder of Relevant Interest	Date of Acquisition / Trans on a prescribed fin'l mkt	Consideration			Class and number of securities affected	Person's votes affected		
		Cash	Non-cash	CCY				
BlackRock Advisors (UK) Limited	27-Aug-20	no	n/a	collateral transfer	AUD ADR 100:1	39,954	3,995,419	
BlackRock Advisors (UK) Limited	28-Aug-20	no	n/a	collateral transfer	AUD ADR 100:1	54	5,441	
BlackRock Investment Management (UK) Limited	28-Aug-20	no	n/a	collateral transfer	AUD ADR 100:1	1	100	
BlackRock Japan Co., Ltd.	31-Aug-20	no	n/a	collateral transfer	AUD ADR 100:1	40,009	4,000,900	
BlackRock Advisors (UK) Limited	01-Sep-20	no	n/a	collateral transfer	AUD ADR 100:1	39,928	3,992,772	
BlackRock Investment Management, LLC	01-Sep-20	no	n/a	collateral transfer	AUD ADR 100:1	0	24	
BlackRock Investment Management (UK) Limited	01-Sep-20	no	n/a	collateral transfer	AUD ADR 100:1	0	3	
BlackRock Investment Management (UK) Limited	02-Sep-20	no	n/a	collateral transfer	AUD ADR 100:1	36,377	3,637,697	
BlackRock Japan Co., Ltd.	03-Sep-20	no	n/a	collateral transfer	AUD ADR 100:1	57	5,700	
BlackRock Advisors (UK) Limited	03-Sep-20	no	n/a	collateral transfer	AUD ADR 100:1	55,011	5,501,100	
BlackRock Japan Co., Ltd.	09-Sep-20	no	n/a	collateral transfer	AUD ADR 100:1	1	100	
BlackRock Investment Management, LLC	09-Sep-20	no	n/a	collateral transfer	AUD ADR 100:1	1,347	134,684	
BlackRock Investment Management (UK) Limited	09-Sep-20	no	n/a	collateral transfer	AUD ADR 100:1	112	11,206	
BlackRock Japan Co., Ltd.	01-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	6,915	691,500	
BlackRock Advisors (UK) Limited	02-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	1	100	
BlackRock Japan Co., Ltd.	05-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	12,192	1,219,200	
BlackRock Investment Management (UK) Limited	07-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	4,109	410,900	
BlackRock Advisors (UK) Limited	08-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	383	38,320	
BlackRock Investment Management, LLC	08-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	0	8	
BlackRock Investment Management (UK) Limited	08-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	14,614	1,461,372	
BlackRock Investment Management, LLC	09-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	1	113	
BlackRock Advisors (UK) Limited	12-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	19,093	1,909,331	
BlackRock Investment Management (UK) Limited	13-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	19,105	1,910,500	
BlackRock Advisors (UK) Limited	21-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	19,106	1,910,600	
BlackRock Advisors (UK) Limited	26-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	19,105	1,910,543	
BlackRock Advisors (UK) Limited	28-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	19,106	1,910,600	
BlackRock Japan Co., Ltd.	29-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	16,006	1,600,600	
BlackRock Advisors (UK) Limited	30-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	3	290	
BlackRock Investment Management, LLC	30-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	0	10	
BlackRock Investment Management (UK) Limited	30-Oct-20	no	n/a	collateral transfer	AUD ADR 100:1	7,702	770,200	
BlackRock Japan Co., Ltd.	02-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	3,705	370,500	
BlackRock Investment Management (UK) Limited	09-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	27,994	2,799,400	
BlackRock Japan Co., Ltd.	10-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	27,993	2,799,300	
BlackRock Advisors (UK) Limited	11-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	27,995	2,799,500	
BlackRock Japan Co., Ltd.	12-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	1	100	
BlackRock Japan Co., Ltd.	13-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	26,337	2,633,700	
BlackRock Advisors (UK) Limited	16-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	24,338	2,433,800	
BlackRock Japan Co., Ltd.	17-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	1	100	

Holder of Relevant Interest	Date of Acquisition / Trans on a prescribed fin'l mkt		Consideration			Class and number of securities affected	Person's votes affected
			Cash	Non-cash	CCY		
BlackRock Japan Co., Ltd.	18-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	14,722	1,472,200
BlackRock Investment Management, LLC	18-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	0	8
BlackRock Investment Management (UK) Limited	18-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	4	400
BlackRock Advisors (UK) Limited	19-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	14,727	1,472,733
BlackRock Investment Management, LLC	19-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	0	16
BlackRock Japan Co., Ltd.	20-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	3	300
BlackRock Investment Management (UK) Limited	20-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	16,513	1,651,282
BlackRock Japan Co., Ltd.	23-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	23,435	2,343,500
BlackRock Advisors (UK) Limited	24-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	21,336	2,133,600
BlackRock Advisors (UK) Limited	25-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	2	200
BlackRock Japan Co., Ltd.	26-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	21,338	2,133,800
BlackRock Japan Co., Ltd.	27-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	1	100
BlackRock Advisors (UK) Limited	30-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	1	100
BlackRock Investment Management (UK) Limited	30-Nov-20	no	n/a	collateral transfer	AUD ADR 100:1	21,338	2,133,800
BlackRock Advisors (UK) Limited	01-Dec-20	no	n/a	collateral transfer	AUD ADR 100:1	8,202	820,169
BlackRock Japan Co., Ltd.	02-Dec-20	no	n/a	collateral transfer	AUD ADR 100:1	21,339	2,133,900
BlackRock Advisors (UK) Limited	03-Dec-20	no	n/a	collateral transfer	AUD ADR 100:1	6,380	638,049
BlackRock Investment Management (UK) Limited	03-Dec-20	no	n/a	collateral transfer	AUD ADR 100:1	14,959	1,495,851
BlackRock Advisors (UK) Limited	04-Dec-20	no	n/a	collateral transfer	AUD ADR 100:1	37,799	3,779,928
BlackRock Japan Co., Ltd.	07-Dec-20	no	n/a	collateral transfer	AUD ADR 100:1	41,578	4,157,800
BlackRock Advisors (UK) Limited	08-Dec-20	no	n/a	collateral transfer	AUD ADR 100:1	41,577	4,157,700
BlackRock Japan Co., Ltd.	09-Dec-20	no	n/a	collateral transfer	AUD ADR 100:1	41,577	4,157,700
BlackRock Advisors (UK) Limited	10-Dec-20	no	n/a	collateral transfer	AUD ADR 100:1	13,490	1,349,006
BlackRock Investment Management (UK) Limited	10-Dec-20	no	n/a	collateral transfer	AUD ADR 100:1	35,862	3,586,194
BlackRock Investment Management (UK) Limited	16-Dec-20	no	n/a	collateral transfer	AUD ADR 100:1	51,542	5,154,152
BlackRock Advisors (UK) Limited	18-Dec-20	no	n/a	collateral transfer	AUD ADR 100:1	4,779	477,900
BlackRock Investment Management (UK) Limited	18-Dec-20	no	n/a	collateral transfer	AUD ADR 100:1	2	200
BlackRock Investment Management (UK) Limited	21-Dec-20	no	n/a	collateral transfer	AUD ADR 100:1	85,444	8,544,400
BlackRock Investment Management (UK) Limited	22-Dec-20	no	n/a	collateral transfer	AUD ADR 100:1	260,422	26,042,200

This is Annexure C of 1 page referred to in form 603 Notice of initial substantial shareholder

**Haley Harris,**  
**Authorised Signatory**

24-Dec-20  
**Date**

**7. Addresses**

The addresses of persons named in this form are as follows:

<b>Name</b>	<b>Address</b>
<b>BlackRock Group</b>	
BlackRock Inc.	55 East 52nd Street New York NY 10055 USA
BlackRock Advisors (UK) Limited	12 Throgmorton Avenue. London, EC2N 2DL, United Kingdom
BlackRock Investment Management (UK) Limited	12 Throgmorton Avenue London EC2N 2DL United Kingdom
BlackRock Investment Management, LLC	1209 Orange Street, Wilmington, New Castle County, Delaware 19801, United States
BlackRock Japan Co., Ltd.	Marunouchi Trust Tower Main, 1-8-3 Marunouchi, Chiyoda-ku, Tokyo 100-8217 (Japan)

This is Annexure D of 3 pages referred to in form 603 Notice of initial substantial shareholder

Haley Harris, Authorised Signatory ..... 24-Dec-20  
Date

<b>Type of agreement:</b>	Global Master Securities Lending Agreement, Overseas Securities Lending Agreement or Master Securities Lending Agreement.
<b>Parties to agreement:</b>	The relevant counterparty as described in the lists of Securities Lending Agreements entered into by BlackRock Advisors Limited and BlackRock Institutional Trust Corporation, N.A., respectively, on the following page.
<b>Transfer date:</b>	The date of the relevant transaction identified as a collateral transfer referred to in Annexure B
<b>Holder of voting rights:</b>	The Borrower.
<b>Are there any restrictions on voting rights?</b> If yes, detail	Yes. Collateral is held through a tripartite structure under which the triparty collateral manager will not act in respect of voting rights unless it receives instructions to do so from the Borrower.
<b>Scheduled return date (if any):</b>	The date of the relevant transaction referred to in Annexure B
<b>Does the borrower have the right to return early?</b> If yes, detail	Yes. The Borrower is entitled to substitute existing collateral with other equities at any time.
<b>Does the lender have the right to recall early?</b> If yes, detail	Yes, at notice.
<b>Will the securities be returned on settlement?</b> If yes, detail any exceptions	Yes.

A copy of the relevant agreement will be provided to Immutep LTD or the Australian Securities and Investments Commission upon request.

## Securities Lending Agreements

Agreements entered into between BlackRock Advisors Limited and each of the following counterparties:

- (a) Bank of Nova Scotia, London Branch **GMSLA, 25 August 2011**
- (b) Barclays Bank plc **GMSLA, 9 April 2104**
- (c) Barclays Capital Securities Limited **GMSLA, 2 December 2012**
- (d) BNP Paribas Arbitrage **GMSLA, 30 May 2013**
- (e) BNP Paribas **GMSLA, 8 May 2007**
- (f) Citigroup Global Markets Limited **GMSLA, 18 January 2007**
- (g) Commerzbank AG **OSLA, 17 July 2002**
- (h) Credit Suisse AG, Dublin Branch **GMSLA, 28 September 2015**
- (i) Credit Suisse International **GMSLA, 10 March 2014**
- (j) Credit Suisse Securities (Europe) Limited **GMSLA, 26 August 2015**
- (k) Deutsche Bank AG, London Branch **GMSLA, 25 August 2017**
- (l) Goldman Sachs International **OSLA, 3 July 2001**
- (m) HSBC Bank plc **GMSLA, 27 October 2016**
- (n) ING Bank N.V. **GMSLA, 12 November 2018** (not yet operationally active)
- (o) J.P. Morgan Securities plc **GMSLA, 12 July 2011**
- (p) Macquarie Bank Limited **GMSLA, 18 August 2014**
- (q) Merrill Lynch International **OSLA, 13 July 1999**
- (r) Morgan Stanley & Co International plc **OSLA, 8 September 1999**
- (s) Natixis SA **GMSLA, 29 January 2018**
- (t) Nomura International plc **GMSLA, 2 November 2011**
- (u) Royal Bank of Canada Europe Limited **GMSLA, 3 February 2017**
- (v) Royal Bank of Scotland plc (renamed to Natwest Markets Plc) **GMSLA, 1 April 2011**
- (w) Santander UK Plc **GMSLA, 25 January 2019** (not yet operationally active)
- (x) Skandinaviska Enskilda Banken AB **GMSLA, 4 February 2013**
- (y) Societe Generale **GMSLA, 27 January 2012**
- (z) UBS AG **GMSLA, 28 April 2016**
- (aa) UBS Europe SE **GMSLA, 1 February 2019**
- (bb) BAL/Banco Santander, S.A. **GMSLA, 30 April 2019**
- (cc) Canadian Imperial Bank of Commerce, London Branch **GMSLA, 21 June 2019**
- (dd) Zürcher Kantonalbank **GMSLA, 30 October 2019**

Agreements entered into by BlackRock Institutional Trust Corporation, N.A. and each of the following counterparties:

- (a) Bank of Nova Scotia **GMSLA, December 6, 2016**
- (b) Barclays Bank plc **GMSLA, April 24, 2017**
- (c) Barclays Capital Securities Limited **GMSLA, March 15, 2017**
- (d) BNP Paribas Arbitrage **GMSLA, June 5, 2017**
- (e) Citigroup Global Markets Limited **GMSLA, June 3, 2015**
- (f) Credit Suisse AG, Dublin Branch **GMSLA, October 14, 2015**
- (g) Credit Suisse Securities (Europe) Limited **GMSLA, October 2, 2015**
- (h) Deutsche Bank AG, London Branch **GMSLA, June 7, 2017**
- (i) Goldman Sachs International **OSLA April 1, 2004**
- (j) HSBC Bank plc **GMSLA, February 12, 2013**
- (k) J.P. Morgan Securities plc **GMSLA, October 4, 2016**
- (l) Macquarie Bank Limited **GMSLA, October 3, 2016**
- (m) Merrill Lynch International **GMSLA, February 19, 2014**
- (n) Morgan Stanley & Co International plc **OSLA, February 7, 2007**
- (o) Nomura International plc **GMSLA 03 August 2018 – not yet operational**
- (p) Societe Generale **GMSLA, September 28, 2016**
- (q) UBS AG **GMSLA, May 26, 2016**
- (r) UBS Europe SE **GMSLA, 1 February 2019**
- (s) The Hongkong and Shanghai Banking Corporation Limited **GMSLA, 22 February 2019**
- (t) RBC Europe Limited **GMSLA, 4 February 2019**
- (u) ING BANK N.V. **GMSLA, 27 February, 2019**

These counterparties do not pledge Australian equities:

- (a) Lloyds Bank plc
- (b) Merrill Lynch, Pierce, Fenner Smith Incorporated
- (c) Unicredit



These counterparties do not have any business with us anymore although may have done previously:  
(a) Morgan Stanley Securities Limited (No Business) OSLA 15 March 1999  
Royal Bank of Scotland N.V. **GMSLA, 12 October 2011 – No Business, superseded by Royal Bank of Scotland PLC**

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