

AYI

MR SAM SAMPLE  
FLAT 123  
123 SAMPLE STREET  
THE SAMPLE HILL  
SAMPLE ESTATE  
SAMPLEVILLE VIC 3030

## Need assistance?



**Phone:**

1300 850 505 (within Australia)  
+61 3 9415 4000 (outside Australia)



**Online:**

[www.investorcentre.com/contact](http://www.investorcentre.com/contact)



## YOUR VOTE IS IMPORTANT

For your proxy appointment to be effective it must be received by **11.00 am (AEDT) Tuesday, 24 November 2020.**

# Proxy Form

## How to Vote on Items of Business

All your securities will be voted in accordance with your directions.

### APPOINTMENT OF PROXY

**Voting 100% of your holding:** Direct your proxy how to vote by marking one of the boxes opposite each item of business. If you do not mark a box your proxy may vote or abstain as they choose (to the extent permitted by law). If you mark more than one box on an item your vote will be invalid on that item.

**Voting a portion of your holding:** Indicate a portion of your voting rights by inserting the percentage or number of securities you wish to vote in the For, Against or Abstain box or boxes. The sum of the votes cast must not exceed your voting entitlement or 100%.

**Appointing a second proxy:** You are entitled to appoint up to two proxies to attend the meeting and vote on a poll. If you appoint two proxies you must specify the percentage of votes or number of securities for each proxy, otherwise each proxy may exercise half of the votes. When appointing a second proxy write both names and the percentage of votes or number of securities for each in Step 1 overleaf.

**A proxy need not be a securityholder of the Company.**

### SIGNING INSTRUCTIONS FOR POSTAL FORMS

**Individual:** Where the holding is in one name, the securityholder must sign.

**Joint Holding:** Where the holding is in more than one name, all of the securityholders should sign.

**Power of Attorney:** If you have not already lodged the Power of Attorney with the registry, please attach a certified photocopy of the Power of Attorney to this form when you return it.

**Companies:** Where the company has a Sole Director who is also the Sole Company Secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a Company Secretary, a Sole Director can also sign alone. Otherwise this form must be signed by a Director jointly with either another Director or a Company Secretary. Please sign in the appropriate place to indicate the office held. Delete titles as applicable.

## ATTENDING THE MEETING

**If you are attending in person, please bring this form with you to assist registration.**

#### Corporate Representative

If a representative of a corporate securityholder or proxy is to attend the meeting you will need to provide the appropriate "Appointment of Corporate Representative" prior to admission. A form may be obtained from Computershare or online at [www.investorcentre.com](http://www.investorcentre.com) under the help tab, "Printable Forms".

## Lodge your Proxy Form:

**XX**

### By Mail:

Computershare Investor Services Pty Limited  
GPO Box 242  
Melbourne VIC 3001  
Australia

### By Fax:

1800 783 447 within Australia or  
+61 3 9473 2555 outside Australia



**PLEASE NOTE:** For security reasons it is important that you keep your SRN/HIN confidential.

To vote in person, attend the Annual General Meeting on the date and at the place set on the back of this form.

During these unprecedented times the Company encourages all shareholders to vote by proxy and not to attend in person.

All shareholders are encouraged to submit any question or comment for the consideration of the Board prior to the meeting. All questions or comments should be submitted to the company secretary by emailing to [peter.a@aone.ltd](mailto:peter.a@aone.ltd).

**Any shareholder or their proxy who intends to attend the meeting in person is asked to notify the company on or before 11am Monday 16 November 2020. Such persons may notify the company secretary by emailing to [peter.a@aone.ltd](mailto:peter.a@aone.ltd).**

Any shareholder or their proxy who attends the meeting is encouraged to wear a mask. Social distancing will be strictly enforced during the meeting.

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**Change of address.** If incorrect, mark this box and make the correction in the space to the left. Securityholders sponsored by a broker (reference number commences with 'X') should advise your broker of any changes.



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## Proxy Form

Please mark ☒ to indicate your directions

### Step 1

### Appoint a Proxy to Vote on Your Behalf

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I/we being a member/s of A1 Investments & Resources Ltd hereby appoint

☐

the Chairman  
of the Meeting

OR

**PLEASE NOTE:** Leave this box blank if you have selected the Chairman of the Meeting. Do not insert your own name(s).

or failing the individual or body corporate named, or if no individual or body corporate is named, the Chairman of the Meeting, as my/our proxy to act generally at the meeting on my/our behalf and to vote in accordance with the following directions (or if no directions have been given, and to the extent permitted by law, as the proxy sees fit) at the Annual General Meeting of A1 Investments & Resources Ltd to be held at the offices of Hall Chadwick, Level 40, 2 Park Street, Sydney NSW 2000 on Thursday, 26 November 2020 at 11.00 am (AEDT) and at any adjournment or postponement of that meeting.

**Chairman authorised to exercise undirected proxies on remuneration related resolutions:** Where I/we have appointed the Chairman of the Meeting as my/our proxy (or the Chairman becomes my/our proxy by default), I/we expressly authorise the Chairman to exercise my/our proxy on Items 3, 10.2, 10.3, 10.4 and 10.5 (except where I/we have indicated a different voting intention in step 2) even though Items 3, 10.2, 10.3, 10.4 and 10.5 are connected directly or indirectly with the remuneration of a member of key management personnel, which includes the Chairman.

**Important Note:** If the Chairman of the Meeting is (or becomes) your proxy you can direct the Chairman to vote for or against or abstain from voting on Items 3, 10.2, 10.3, 10.4 and 10.5 by marking the appropriate box in step 2.

### Step 2

### Items of Business

**PLEASE NOTE:** If you mark the **Abstain** box for an item, you are directing your proxy not to vote on your behalf on a show of hands or a poll and your votes will not be counted in computing the required majority.

		For	Against	Abstain			For	Against	Abstain
2	Election of Mr Nakamura as a director	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	8.1	Placement to the shareholders of Resi Property on a post consolidation basis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.	Adoption of the Remuneration Report	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	8.2	Placement to the shareholders of Resi Property on a pre consolidation basis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	Consolidation on a 1 for 2 ratio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9	Retention of the Company's placing capacity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.1	Placement to Ashware Holdings on a post consolidation basis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10.1	Termination of the existing performance rights plan	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.2	Placement to Ashware Holdings on a pre consolidation basis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10.2	New performance rights plan	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.1	Placement to Sarlat Securities on a post consolidation basis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10.3	Charlie Nakamura as a participant in the plan	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.2	Placement to Sarlat Securities on a pre consolidation basis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10.4	Peter Ashcroft as a participant in the plan	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.1	Placement to Ashcroft on a post consolidation basis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	11	Additional 10% placing capacity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.2	Placement to Ashcroft on a pre consolidation basis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>					

The Chairman of the Meeting intends to vote undirected proxies in favour of each item of business. In exceptional circumstances, the Chairman of the Meeting may change his/her voting intention on any resolution, in which case an ASX announcement will be made.

### Step 3

### Signature of Securityholder(s) *This section must be completed.*

Individual or Securityholder 1

Sole Director & Sole Company Secretary

Securityholder 2

Director

Securityholder 3

Director/Company Secretary

/ /

Date

**Update your communication details** (Optional)

Mobile Number

Email Address

By providing your email address, you consent to receive future Notice of Meeting & Proxy communications electronically

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Computershare

